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Centrifuge 2D Gravity on a Vertical Rotational Reference Frame

Author 1

- Ryan D. Beemer, PhD
- ORCID: 0000-002-9101-5325
- Centre for Offshore Foundation System, University of Western Australia, Crawley, Australia
- Work phone: +61 8 6488 2782
- Mobile phone: +61 0456 411 365
- Email: ryan.beemer@uwa.edu.au

Author 2

- Giovanna Biscontin, PhD
- ORCID: 0000-002-4662-5650
- Department of Engineering, University of Cambridge, Cambridge, United Kingdom

Author 3

- Charles P. Aubeny, PhD
- Zachry Department of Civil Engineering, Texas A&M University, College Station, United States

Abstract (98 words)

With the advent of high accuracy sensors and increased interest in geotechnical centrifuge testing simulating loading within serviceability limits, a stronger understanding of the magnitude and orientation of centrifuge gravity relative to the scale model is necessary. This paper presents a methodology for determining 2-Dimensional centrifuge gravity within a model independently of centrifuge type or geometry, which can be used to recompose the gravity field from the direct measurement of a single gravity vector, given angular velocity. Finally, the methodology is compared to the mechanics of drum and beam centrifuges to provide physical meaning to coordinate rotation variables.

Keywords: Centrifuge modelling, Laboratory equipment, Monitoring

List of Notation

Y	centrifuge axial coordinate
r	centrifuge radial coordinate
θ	angular coordinate of centrifuge
ω	angular velocity of centrifuge
x	local horizontal coordinate of model
y	local width coordinate of model
z	local vertical coordinate of model
R	vertical rotational reference plane of centrifuge axis, Y , and centrifugal radial axis, r
a_c	magnitude of centripetal acceleration vector, \tilde{a}_c
g	magnitude of centrifuge gravity vector, \tilde{g} , in the vertical rotational plane
g_o	magnitude of a known reference centrifuge gravity vector, \tilde{g}_o
g_c	magnitude of centrifugal acceleration vector, \tilde{g}_c
g_{co}	component of centrifugal acceleration for a known reference gravity vector, \tilde{g}_o , on the vertical reference plane, R
g_e	magnitude of Earth's gravity vector, \tilde{g}_e
α	angle between a centrifuge gravity vector, \tilde{g} , and the centrifuge radial coordinate, r

α_o	angle between a reference gravity vector, \tilde{g}_o , and the centrifuge radial coordinate, r
β	angle between a centrifuge gravity vector, \tilde{g} , and the local vertical coordinate, z
β_o	angle between the local vertical coordinate axis, z , and the centrifuge radial coordinate, r
ζ	angle between the local vertical coordinate axis, z , and the centrifuge radial coordinate, r
g_x	component of centrifuge gravity, \tilde{g} , in model local horizontal coordinate, x
g_z	component of centrifuge gravity, \tilde{g} , in model local vertical coordinate, z
M	Mass of centrifuge basket
α_b	Angle of centrifuge basket relative to centrifuge radial coordinate, r
L_b	Distance between basket hinge and the basket mass, M
R_b	Distance between centrifuge axis, Y , and basket hinge
d	Distance between the basket centreline and the basket mass, M
L	Angle between the centreline of the basket and the project line, L , between the basket hinge and the basket mass, M
$\Delta\alpha_b$	Angle between the centreline of the basket and the project line, L , between the basket hinge and the basket mass, M
α_{2D}	Basket angle from centrifuge radial coordinate, r , when the concentrated mass is off the centreline of the basket
$\Delta\alpha_m$	Change in basket angle, α_b , due to applied moment about the hinge
m_h	Applied moment about the basket hinge
f	Friction coefficient for the basket hinge
r_h	Radius of the basket hinge
$\Delta\alpha_{fs}$	Change in basket angle, α_b , due to friction in the basket hinge with small angle assumption
α_b'	Basket angle with an applied moment about its hinge
g_c'	magnitude of centrifugal acceleration vector, \tilde{g}_c' , on the centre of gravity when a moment is applied about the basket hinge
Vertical rotational plane	A vertical plane defined by centrifuge axis, Y , and centrifuge radial coordinate, r
Horizontal radial plane	A horizontal plane about the centrifuge axis (r, θ)

1. Introduction

The geotechnical centrifuge has been used extensively in the area of geotechnics to create scale models with field magnitude effective stresses. A commonly noted limitation of geotechnical centrifuge testing is that gravity is not constant within the model as it would be in the field. However, as this generally introduces minor errors, in most models centrifuge gravity is presented as a 1-Dimensional vector perpendicular to the model datum. To date this definition of centrifuge gravity has been used successfully, but with the advent of new sensing technologies an updated 2-Dimensional description is needed to better understand the relationship between centrifuge gravity and the local coordinate frame.

The analysis presented in this work centres around the use of Microelectromechanical Systems (MEMS) accelerometers in a high-g environment to measure rotation relative to an acceleration vector with a high degree of accuracy (Beemer et al., 2016). These sensors can be especially useful due to their relatively small size allowing them to fit in confined space. A shift of design focus from safety to performance requires better understanding of the mechanisms leading to accumulation of permanent deformations, and consequently more accurate measurements in problems such as pile head rotation, where serviceability limit rotations are 0.5° (DNV, 2007), or lateral spreading, where slopes as slight as 0.6° have been studied (Taboada-Urtuzuástegui and Dobry, 1998).

MEMS accelerometers measure sensor orientation relative to a constant acceleration vector. For example a sensor inclined at a 60° angle to Earth's gravity will measure an acceleration of 0.5 g. To make use of these sensors in the geotechnical centrifuge an understanding of the magnitude and orientation of centrifuge gravity throughout the model is necessary. Presented herein is a methodology for describing the 2D acceleration field existing on the vertical rotational reference frame of centrifugal acceleration and Earth's gravity and its relationship to the scale model local coordinates. It is defined in terms of a known gravity vector and angular velocity, while independent of centrifuge type and geometry. Comparisons of the model to drum and beam centrifuges are included in order to link its variables to physical behaviour of these centrifuges.

28 **2. Background**

29 The simplest form of a geotechnical centrifuge is a device that, when spun, exerts a centripetal
 30 acceleration on a model. A sketch of a simple centrifuge with a model space (x,y,z) , spinning at a
 31 radius, r , about its axis, Y , at an angular velocity, ω , is provided in Figure 1. The centrifuge
 32 acceleration, for the most part, is designed to be perpendicular to Earth's gravity.

33 Centrifuge gravity, \tilde{g} , is typically assumed as a one-dimensional vector field in the vertical
 34 rotational plane of (r, Y) with \tilde{g} dependent on the centrifuge radial coordinate, r (Madabhushi,
 35 2015; Murff, 1996; Schofield, 1980, 1988; Taylor, 1995). This 1D definition can describe a
 36 nonlinear effective stress distribution with depth in a small scale model. As this does not occur in
 37 the prototype, Figure 2, it is an important consideration when designing and interpreting
 38 experiments. Only in limited cases is centrifuge gravity treated as a two-dimensional vector field in
 39 the vertical rotational plane (r,Y) . Phillips (1995) notes the orientation of centrifuge gravity relative
 40 to the restricted platform of the Turner centrifuge, while Xuedoon (1988) recommends the use of a
 41 potential function, Equation 1 –attributed to the Soviet researchers Pokrovskii and Fiodorov – to
 42 describe the magnitude and orientation of centrifuge gravity when designing geotechnical
 43 centrifuges. Finally, Allmond et al. (2014) briefly discusses the impact of centrifuge basket
 44 orientation from vertical axis Y has on measurements of tilt within a centrifuge, but does not
 45 examine the direct relationship between centrifuge gravity and basket angle.

46

$$47 \quad Y = \frac{1}{2} \frac{\omega^2}{|g_e|} r^2 + C$$

48 1.

49

50 where: Y is the vertical axis coinciding with the centrifuge axis, r is the radial axis, ω is angular

velocity, g_e is the magnitude of Earth's gravity, and C is an integration constant.

Centrifuge gravity is more frequently considered as two-dimensional in the horizontal radial plane (r, θ) (Madabhushi, 2015; Park, 2014; Taylor, 1995) where centrifugal acceleration can be defined as constant in polar coordinates, but varies across model Cartesian coordinates (x, z) . It is common practice to modify model geometry to account for this variation if model width in the y coordinate axis is large (Park, 2014; Taylor, 1995).

Finally, higher order centrifugal accelerations have been addressed in polar coordinates. One of these is Coriolis acceleration, which is dependent on velocity in the horizontal radial plane (θ, r) and centrifuge radial coordinate, r , (Madabhushi, 2015; Schofield, 1980; Taylor, 1995; Xuedoon, 1988). Another is Euler's acceleration which is dependent on the angular acceleration, $\dot{\omega}$, of the vertical rotational plane (r, Y) and centrifuge radial coordinate, r . Therefore, it is only relevant during spin up or spin down of the centrifuge (Madabhushi, 2015).

Beyond the comments by Phillips (1995) and the potential function provided by Xuedoon (1988) 2D centrifuge gravity on the vertical rotational reference frame (r, Y) of a geotechnical centrifuge is rarely discussed. In part this is due to limited impact of variation in centrifuge gravity field on geotechnical models. However, with a shift in focus from ultimate load capacity to deformation analysis under working loads and the advent of new sensing technology, a stronger understanding of 2D centrifuge gravity is needed.

69

70 **3. The Centrifuge Acceleration Field**

When testing at constant angular velocity, ω , a vertical rotational reference frame, R , can be defined on the vertical rotational plane (r, Y) . Any mass within the reference frame R is subjected to a resultant acceleration with components of centrifugal acceleration, g_c , (equal in magnitude and opposite in direction to centripetal acceleration) and Earth's gravity, g_e . Centrifugal acceleration is variable with along the radial axis, r , and is defined as:

76

77 $g_c = \omega^2 r$

78 2.

79

80 where: g_c is a vector of centrifugal acceleration dependent of the radius

81 The resultant magnitude and direction of these vectors will vary with radial coordinate, r , according
82 to Equation 3 as illustrated in Figure 3.

83

84 $g = g_c \cdot \hat{i}_R + g_e \cdot \hat{j}_R$

85 3.

86

87 where: g is the gravity field dependent on radial coordinate, r , \hat{i}_R is the horizontal unit vector in
88 frame R , and \hat{j}_R is vertical unit vector in vertical rotational frame R ; g_e is a negative quantity in the
89 (r, Y) reference frame.

90

91 **4. Model Local Coordinate System**

92 In a centrifuge test the model and its local coordinate system exist within R , Figure 3. The local
93 coordinates (x, z) are related to gravity vector, \tilde{g} , by an angle, β , and to the reference frame R
94 horizontal by an angle, ζ . Given measurements of the magnitude, g_o , and orientation, β_o , of a
95 reference vector, \tilde{g}_o , at coordinates (x_o, z_o) in R , it is possible to describe the magnitude and
96 orientation of centrifuge gravity throughout the local coordinate system. The component of
97 centrifugal acceleration, g_{co} , of the known vector \tilde{g}_o can be determined, given Earth's gravity, g_e ,
98 Equation 4.

99

100 $g_{co} = \sqrt{g_o^2 - g_e^2}$

101 4.

102

103 where: g_o is the measured magnitude of the reference gravity vector, g_{co} is the component of the
104 reference vector due to centrifugal acceleration

105 The angle of the vector \tilde{g}_o relative to radial axis, r , can be determined as:

106

107 $\alpha_o = \arctan\left(\frac{g_e}{g_{co}}\right)$

108 5.

109

110 where: α_o is the angle between the radial axis, r , and the reference gravity vector \tilde{g}_o

111 The orientation of R with respect to the local coordinate system will be:

112

113 $\xi = \alpha_o + \beta_o$

114 6.

115

116 The relationship between the radial coordinate and local coordinate system (x,z) can be defined with
117 the basket angle ξ :

118

119 $\frac{\partial r(x,z)}{\partial x} = \sin(\xi)$

120 7.

121

122
$$\frac{\partial r(x, z)}{\partial z} = \cos(\xi)$$

123 8.

124

125 where: x is the local horizontal coordinate and y is the local vertical coordinate as in Figure 4

126 Local coordinates can be related to centrifugal acceleration with the linear relationship:

127

128
$$\frac{dg_c}{dr} = \omega^2$$

129 9.

130

131 Resulting in:

132

133
$$\frac{\partial g_c(x, z)}{\partial x} = \omega^2 \sin(\xi)$$

134 10.

135

136
$$\frac{\partial g_c(x, z)}{\partial z} = \omega^2 \cos(\xi)$$

137 11.

138

139 With centrifugal acceleration, g_c , defined throughout the local coordinate system (x, z) , the

140 components of centrifuge gravity, \tilde{g} , can be rotated into the local system with the common

141 transformation matrix:

142

$$143 \begin{bmatrix} g_z(x, z) \\ g_x(x, z) \end{bmatrix} = \begin{bmatrix} \cos(\xi) & -\sin(\xi) \\ \sin(\xi) & \cos(\xi) \end{bmatrix} \begin{bmatrix} g_c(x, z) \\ g_e \end{bmatrix}$$

144 12.

145

146 where: g_x is the component of centrifuge gravity vector, \tilde{g} , in local coordinate, x , and g_z is the
147 component of centrifuge gravity vector, \tilde{g} , in local coordinate, z , both dependent of model
148 coordinates (x, z) ; g_e is a negative quantity in the (r, Y) reference frame.

149

$$150 g(x, z) = \sqrt{g_x^2 + g_z^2}$$

151 13.

152

$$153 \beta(x, z) = \arctan\left(\frac{g_x(x, z)}{g_z(x, z)}\right)$$

154 14

155

156 where: g is magnitude of centrifuge gravity in local coordinates (x, z) and β is orientation of
157 centrifuge gravity with respect z coordinate axis in local coordinates (x, z)

158 This shows that the magnitude and orientation of centrifuge gravity can be defined throughout the
159 model if the orientation and magnitude of a single gravity vector are measured, for example with a
160 MEMS accelerometer (Beemer et al., 2016), and the centrifuge angular velocity is known. The
161 value of this process is demonstrated with an example problem in the Appendix A. It demonstrates
162 that the orientation, β , of centrifuge gravity can vary by as much as 2.32° while its magnitude, g ,

163 can vary by as much as 1.78 g, from a one dimensional assumption, for a 100 cm wide by 100 cm
164 tall model in a centrifuge with a 2 m radius when spun at 30 g.

165 There are two major types of geotechnical centrifuges: the drum and the beam. The model presented
166 above fits conceptually with both types of centrifuge and the variables $\beta(x,z)$ and ξ can easily be
167 related to their mechanics.

168

169 **5. Drum Centrifuge or Beam Centrifuge with Fixed Basket**

170 Drum centrifuges are common devices for scale model testing (Madabhushi, 2015; Springman et
171 al., 2001; Stewart et al., 1998). They are essentially hollow cylinders spun at high angular velocities
172 with the soil test bed placed around the inner circumference. In most cases they are mounted such
173 that centrifugal acceleration is perpendicular to earth's gravity. If the model coordinate system is
174 aligned with the drum side and radius, the angle, ξ , between the centrifuge radial axis, r , and the
175 model vertical coordinate, z , is zero, Equation 15. This simplifies gravity throughout, since the local
176 coordinate system is aligned with frame R , Equation 16 and Equation 17.

177

178 $\xi = 0$

179 15.

180

181 $\frac{dr}{dx} = 0$

182 16.

183

184 $\frac{dr}{dz} = 1$

185 17.

186

187 The magnitude and orientation of 2D centrifuge gravity will be:

188

189 $g_{local} = \sqrt{g_c^2 + g_e^2}$

190 18.

191

192 $|\beta| = |\alpha| = \arctan\left(\frac{g_x}{g_z}\right)$

193 19.

194

195 These solutions are also applicable to beam centrifuges with mounting or end plates, such as the
196 Turner Beam Centrifuge at the University of Cambridge (Schofield, 1980) and the Istituto
197 Sperimentale Modelli Geotecnici geotechnical centrifuge (ICG) in Italy (Airolidi et al. 2016). At
198 high-g the baskets of these centrifuge rests on a vertical mounting plates and the local coordinate
199 system (x,z) is aligned with the vertical rotational reference frame, R . In both cases the vertical
200 support is used for structural reason but, when the shake table was installed the ICG the vertical
201 orientation had the added benefit of reducing Coriolis effects during shacking.

202

203 **6. Beam Centrifuge with Swinging Basket**

204 Beam centrifuges with swinging baskets are common and can be found throughout the world (Black
205 et al. 2014; Elgamal et al. 1991; Ellis et al. 2006; Kim et al. 2012; Madabhushi 2015; Phillips et al.
206 1994; Corte and Garnier 1986). In principle beam centrifuges are designed to align centrifuge

207 gravity, at the nominal radius (usually the distance from the centrifuge axis Y to the mid-depth of
208 the model), with the local vertical coordinate, z , of the centrifuge basket; in practice this is typically
209 not the case due to uncertainties in the location of the model's centre of gravity, within the basket,
210 and applied moments about the basket hinge.

211 The orientation of a free-swinging basket relative to the reference frame R depends on the location
212 of the basket's centre of gravity. The basket angle can be determined under a number of
213 assumptions, but presented here are Case 1: a single massless rigid member connected to a
214 concentrated mass; and Case 2: two massless rigid members, perpendicular to each other, with a
215 concentrated mass at one end. Additionally, the impact of an applied moment at the basket hinge for
216 Case 1 will be addressed. Reference to basket angle is limited in the literature; however, Case 1 was
217 used to address moment applied about the basket hinge due to friction (Xuedoon, 1988).

218

219 In Case 1 the mass, M , of the basket, including the model and all equipment, is concentrated at the
220 end of a rigid tension member with length, L_b , from the basket hinge and an effective radius, R_e ,
221 from the centrifuge axis, Y , Figure 4. The orientation of the basket, α_b , can then be determined by a
222 balance of moments from Earth's gravity, g_e , and centrifugal acceleration, g_c , about the basket
223 hinge:

224

$$225 \quad g_c M \sin(\alpha_b) L_b = g_e M \cos(\alpha_b) L_b$$

226 20.

227

$$228 \quad \alpha_b = \arctan\left(\frac{g_e}{g_c}\right)$$

229 21.

230

231 where: α_b is the angle of the basket, M is the mass of the basket and model, and L_b is the distance
232 between the hinge and the mass

233 However, centrifugal acceleration, g_c , depends on basket angle, α_b , Equation 2:

234

235 $g_c = \omega^2 R_e$

236 22.

237

238 $g_c = \omega^2 (R_b + L_b \sin(\alpha_b))$

239 23.

240

241 where: R_b is the distance between the centrifuge axis and the basket hinge

242 Since the combination of Equation 21 and 23 is not easily reduced to close-form, iterations are
243 necessary.

244 For a reference gravity vector, \tilde{g}_o , the angle, ξ , between local coordinate system and reference frame
245 R is equal to α_b , Equation 24, and β , the angle between the gravity vector, \tilde{g}_o , and the local vertical
246 coordinate axis, z , is defined by Equation 25. For the special case where the reference gravity vector
247 is located at the centre gravity the angle, α , between the vector, \tilde{g}_o , and the centrifuge radial axis, r ,
248 is equal to α_b and β will be zero.

249

250 $\xi = \alpha_b$

251 24.

252

253 $\beta = \alpha - \alpha_b$

254 25.

255

256 As seen in Equation 19-21 and as noted by others (Xuedoon, 1988) the angle of the basket is
257 independent of basket mass, M ; however, Case 1 does not address the location of the centre of
258 gravity, L_b , within the basket. The distribution of mass along the basket dictates L_b e.g., a basket
259 containing a tall model has a shorter L_b than a basket with a compact model. So, it is possible for a
260 centrifuge basket to be oriented at different angles, α_b , while spinning at the same angular
261 velocities, ω , due the distribution of mass, M , in the model. This can be seen in Allmond et al.
262 (2014) where it was demonstrated that actuator movement within the basket changed its angle from
263 vertical in flight.

264 Developing an analytical form for this case would be difficult and nearly impossible to implement
265 because of uncertainties in the distribution of mass within the basket. Each model has a different
266 geometry and requires a different configuration of equipment (data acquisition, loading systems,
267 etc.). Instead, the impact of the location of centrifuge gravity relative to the local vertical
268 coordinate, z , can be addressed with a parametric analysis. This has been done by varying radial
269 distance, R_e , in Equation 22 to simulate the centre of gravity moving relative to the local vertical
270 coordinate, z . This result in a change of basket angle, α_b , and therefore change of the angle, ξ ,
271 between the local coordinate system and the reference frame R , Figure 5.

272 By considering the basket as a 2D object, the effect of moving the centre of gravity away from the
273 centreline of the basket can also be investigated. Assuming the basket consists of rigid massless
274 members perpendicular to each other, with lengths L and d , connected to a single concentrated
275 mass, M , Figure 6, the projected basket angle, Equation 21, and change in basket angle due to the
276 location of the centre of gravity, Equation 26, can be calculated.

277

278

279 26.

280 where: d is the distance between the centre of gravity and the centreline of the basket, L is the

281 distance to the centre of gravity in the local vertical coordinate axis, z , α_b is the angle from L_b as

282 before, $\Delta\alpha_b$ is the difference in angle between the centreline of the basket and project line L_b

283 It should be noted that this formulation results in the angle $\Delta\alpha_b$ being independent of centrifugal

284 acceleration. Further, the 2D basket angle from horizontal can be determined by:

285

286 $\alpha_{2D} = \Delta\alpha_b + \alpha_b$

287 27.

288

289 where: α_{2D} is the angle of the basket from horizontal when the centre of gravity is not on the

290 centreline of the basket.

291 For a given centrifuge gravity vector, \tilde{g}_o , the angle, ξ , between the local vertical coordinate, z , and

292 the reference frame R will be equal to α_{2D} , Equation 29. The angle β between the reference gravity

293 vector and the local coordinate system is therefore defined by Equation 30.

294

295 $\xi = \alpha_{2D}$

296 29.

297

298 $\beta = \alpha_b - \alpha + \Delta\alpha_b$

299 30.

300

301 For the special case of the reference gravity vector, \tilde{g}_o , is at the basket's centre of gravity α_b is equal
302 to α the angle between the centrifuge gravity vector, \tilde{g}_o , and the centrifuge radial axis, r , and β is
303 equal to $\Delta\alpha_b$.

304 Just as with the 1D model, the location of the centre of gravity within the basket is also unknown in
305 the 2D model. The impact of the location of centrifuge gravity relative to the local coordinate
306 system can be addressed by varying the lengths of the two rigid members in Equations 25. This
307 results in a change in the 2D basket angle, α_{2D} , and therefore a change in angle ζ , Figure 7.

308

309 Basket angle can also be affected any applied moment about the basket hinge, such as that due to
310 friction in the basket hinge and/or resistance from the cabling and/or hosing that transmits various
311 signals, power, and fluids to the model. A generalized solution, compared to the one for friction
312 developed by Xuedoon (1988), for applied moments at the basket hinge has been created.

313 The general solution for any applied moment about the basket hinge, Equation 31, is derived in
314 Appendix A. This solution is only applicable for small basket angles, α_b .

315

316
$$\Delta\alpha_m = \frac{m_h}{L_b \cdot M \cdot g_c}$$

317 31.

318

319 where: $\Delta\alpha_m$ is the difference in basket angle, α_b , due to an applied moment about the hinge and m_h is
320 a moment applied to the hinge:

321 This solution can be modified to explicitly accounting for friction in the hinge by substituting m_h for
322 Equation 8A in Appendix A resulting in Equation 32, which is identical to 10A in Appendix A.

323

324 $\Delta\alpha_{fs} = f \frac{r_h}{L_b}$

325 32.

326

327 where: $\Delta\alpha_{fs}$ is change in angle α_b due to friction in the basket hinge with the small angle
328 approximation, f is the friction coefficient for the basket hinge, and r_h is the radius of the basket
329 hinge, Figure 1A.

330 In terms of the general framework. The angle ξ of the basket relative to the reference frame R is
331 equal to the sum of basket angle α_b and change in basket angle, $\Delta\alpha_{fs}$, Equation 33. The angle β of
332 the reference gravity vector, \tilde{g}_o , to the local vertical coordinate axis, z , is given by Equation 34.

333

334 $\xi = \alpha_b + \Delta\alpha_{fs}$

335 33.

336

337 $\beta = \alpha_b + \Delta\alpha_{fs} - \alpha$

338 34.

339

340 Variation in tilt of the centrifuge basket can be assessed via a parametric study of Equation 32 for
341 the impact of hinge radius and friction coefficient and is provided in Figure 8. The range of angles
342 presented should be acceptable for small angle approximation.

343

344 As seen there are multiple sources of uncertainty related to the orientation of a beam centrifuge

345 basket; however, they do fit within the proposed methodology for describing the magnitude and
346 orientation of 2D centrifuge gravity relative to a known vector, \tilde{g}_o .

347

348 **8. Example and Impact of 2D Gravity Fields**

349 The following presents an example of how to calculate the 2D gravity field within a model in its
350 local coordinates (x,z), when the magnitude, g_o , and orientation, β_o , of a single reference vector, \tilde{g}_o ,
351 and the centrifuge rotational velocity, ω , are known. The gravity field will be calculated assuming
352 target acceleration of 30 g.

353 A scale model is placed in a centrifuge basket and a MEMS accelerometer is used to measure an
354 acceleration vector on the centre of the centrifuge basket floor. The basket working area is
355 contained within an area 100 cm wide by 100 cm high on the centrifuge basket and the model is
356 30 cm tall. The basket is spun to a target accelerations 30 g at 25 cm from the basket floor. At point
357 (0 cm, 0 cm) the magnitude of measured centrifuge gravity, g_o , is 33.00 g. The centrifuge has an
358 angular velocity, ω , of 115 rpm. Due to the centre of gravity of the model being 2 cm off the
359 centreline of the basket towards the ceiling (positive x-coordinate), applied moment about the
360 centrifuge basket hinge from cabling, and the MEMS sensor not being at the basket centre of
361 gravity the orientation of the gravity vector at the accelerometer, β_o , is 2°, Fig. 9.

362 Using Equation 4 we can calculate that centrifugal acceleration at the reference point (x_o, z_o) is:

363

$$364 \quad g_{co} = 32.99 \text{ g}$$

365

366 Then the angle of the reference vector, \tilde{g}_o , with respect to the reference frame R can be calculated
367 with Equation 5:

368

369 $\alpha_o = 1.74^\circ$

370

371 The angle of the model vertical coordinate, z , (or angle of centrifuge basket) with respect to the
 372 reference from horizontal, r , is given by Equation 6:

373

374 $\xi = 3.74^\circ$

375

376 We can then calculate the radial distance at the location of the reference vector, \tilde{g}_o , using
 377 Equation 2:

378

379 $r_o = 2.23 \text{ m}$

380

381 We need to select some representative locations where we are interested in assessing the variation
 382 of gravity across the model. Here, we represent the model area with a 3 x 3 matrix of points with a
 383 spacing of 0.5 m in the local horizontal and 0.5 m in the local vertical, using Equations 7 and 8:

384

385 $r(x, z) = \begin{bmatrix} 1.30 \text{ m} & 1.23 \text{ m} & 1.17 \text{ m} \\ 1.80 \text{ m} & 1.73 \text{ m} & 1.67 \text{ m} \\ 2.30 \text{ m} & 2.23 \text{ m} & 2.17 \text{ m} \end{bmatrix}$

386

387 Considering Equation 2, centrifugal acceleration g_c throughout the model can be calculated:

388

$$g_c(x, z) = \begin{bmatrix} 19.20 \text{ g} & 18.23 \text{ g} & 17.26 \text{ g} \\ 26.57 \text{ g} & 25.61 \text{ g} & 25.64 \text{ g} \\ 33.95 \text{ g} & 32.98 \text{ g} & 32.02 \text{ g} \end{bmatrix}$$

390

391 With centrifugal acceleration and Earth's gravity known at each point in the model, gravity in
392 model's x-coordinate and z-coordinate can be calculated with Equation 12:

393

$$\begin{bmatrix} g_z(x, z) \\ g_x(x, z) \end{bmatrix} = \begin{bmatrix} 19.22 \text{ g} & 26.58 \text{ g} & 33.94 \text{ g} & \cdots & 32.01 \text{ g} \\ 0.25 \text{ g} & 0.73 \text{ g} & 1.22 \text{ g} & \cdots & 1.09 \text{ g} \end{bmatrix}$$

395

396 where each column represents components of acceleration in the z and x-directions for one of the
397 points selected for calculation.

398 Equations 13 and 14 give the magnitude of centrifuge gravity, $g(x, z)$, in model coordinates and the
399 orientation of the gravity field, β :

400

$$g(x, z) = \begin{bmatrix} 19.22 \text{ g} & 18.26 \text{ g} & 17.30 \text{ g} \\ 26.59 \text{ g} & 25.62 \text{ g} & 24.66 \text{ g} \\ 33.96 \text{ g} & 33.00 \text{ g} & 32.04 \text{ g} \end{bmatrix}$$

402

$$\beta(x, z) = \begin{bmatrix} 0.75^\circ & 0.60^\circ & 0.42^\circ \\ 1.58^\circ & 1.50^\circ & 1.41^\circ \\ 2.05^\circ & 2.00^\circ & 1.95^\circ \end{bmatrix}$$

404

405 As the examples shows the variation in the angle, β , of centrifuge gravity to the models z-coordinate
406 can vary significantly in a moderate size centrifuge at low-g.

407 As previously noted, centrifuge gravity is typically treated as a one-dimensional vector field in the

vertical rotational plane of (r, Y) . Error in both centrifuge gravity and its angle to vertical can be assessed by comparing the values calculated above to the traditional method. A centrifuge nominal radius needs to be defined by the operator, usually taking into account both centrifuge and model geometry. In this example a 2 m radius is used. In the traditional method variation in g over the sample is only a function of change in radius with depth within the model. Gravity assessed by traditional method across the model is:

414

$$g(x, z) = \begin{bmatrix} 18.49 \text{ g} & 18.49 \text{ g} & 18.49 \text{ g} \\ 25.87 \text{ g} & 25.87 \text{ g} & 25.87 \text{ g} \\ 33.26 \text{ g} & 33.26 \text{ g} & 33.26 \text{ g} \end{bmatrix} \text{ traditional method}$$

416

In the traditional method it is also assumed that the centrifuge basket will align itself with gravity so the angle of gravity relative to the target acceleration is zero:

419

$$\beta(x, z) = \begin{bmatrix} 0^\circ & 0^\circ & 0^\circ \\ 0^\circ & 0^\circ & 0^\circ \\ 0^\circ & 0^\circ & 0^\circ \end{bmatrix} \text{ traditional method}$$

421

Potential error from assuming gravity in one-dimensional can then be assessed:

423

$$g_{error}(x, z) = \begin{bmatrix} 0.73 \text{ g} & -0.23 \text{ g} & -1.19 \text{ g} \\ 0.72 \text{ g} & -0.24 \text{ g} & -1.20 \text{ g} \\ 0.70 \text{ g} & -0.26 \text{ g} & -1.22 \text{ g} \end{bmatrix}$$

425

$$\beta_{error}(x, z) = \begin{bmatrix} 0.75^\circ & 0.60^\circ & 0.42^\circ \\ 1.58^\circ & 1.50^\circ & 1.41^\circ \\ 2.05^\circ & 2.00^\circ & 1.95^\circ \end{bmatrix}$$

427

428 At a relatively low g, the error from using a one-dimensional rather than a two dimensional
429 assumption to calculate the magnitude of gravity, g_{error} , is not high: only 2.4 % at the mid-height of
430 the basket, in this example. However, the error in the angle of centrifuge gravity relative to model
431 vertical, β_{error} , is much more significant. This example shows that a tilt of the basket such as that
432 due to model centre of gravity being off the centreline will rotate the model coordinates relative to
433 the centrifuge gravity field. Since the orientation of gravity is typically disregarded in the traditional
434 method the percent error is mathematically infinite, though practically it is still large at 1-2°.
435 Additionally, the variation in the angle of centrifuge gravity across the basket x-coordinate, $\Delta\beta_{error}$,
436 is significant. At the basket floor there is a 5.0% variation and at the mid-height there is 11.3%
437 variation.

438 The effect of g-level on error in angle of centrifuge from a one-dimensional assumption can be
439 determined by calculating the gravity field for a 110 g measurement at the basket floor and an
440 angular velocity of 210 rpm, following the steps outlined above. Variation in centrifuge gravity
441 angle from vertical will be:

442

443
$$\beta_{error}(x, z) = \begin{bmatrix} 1.61^\circ & 1.58^\circ & 1.54^\circ \\ 1.87^\circ & 1.85^\circ & 1.83^\circ \\ 2.01^\circ & 2.00^\circ & 1.99^\circ \end{bmatrix} @ 100 \text{ g}$$

444

445 At both 30 g and 100 g the mean error in the angle of centrifuge gravity across the model x-
446 coordinate is equal to the orientation of gravity at the centre of the basket floor, 2°. This is because
447 the tilt of the basket due to the centre of gravity being off the centreline is independent of
448 centrifugal acceleration. Though the mean error is the same in both cases, the variation of the angle
449 of centrifuge gravity across the model's mid-height is reduced at higher g. In this example 11.3 % at
450 30 g and 2.1 % 100 g.

451 These errors in the angle of centrifuge gravity relative to the model vertical, β , can be very
452 problematic for a number of geotechnical experiments. For example: consider a scale model of a
453 submarine landslide constructed at an angle of 4° along the x-coordinate. The soil is a high
454 plasticity clay with an effective internal friction angle of 30° . Large submarine landslides do occur
455 on the continental slope which typically has an angle of 4° . These slides are induced by a decrease
456 in effective stress from a build-up of excess pore pressure. If the gravity field during the experiment
457 was at a 2° mean angle to vertical, the slope would be at 2° or 6° , relative to gravity, depending on
458 the models orientation, not 4° as intended. If an infinite slope analysis is used and failure occurs at a
459 depth of 5 m the error in excess pore pressure would be 29 % for a slope at 2° to gravity and 30 %
460 for a slope at 6° to gravity. This error is significant and should be corrected for in this specific
461 experiment.

462 Correcting for rotation in centrifuge gravity field due to the tilt of the basket is theoretically simple.
463 It could be done by altering the basket's centre of gravity inflight with a mass attached to an
464 actuator system mount along the basket's x-coordinate. As the actuator moved the centre of gravity
465 would change and the basket would rotate about its hinge. Correcting for variation in the angle of
466 centrifuge gravity across the basket is not as simple; however, the development of a correction
467 procedure for altering model geometry as done by Park (2014) for variation in gravity along the
468 model y-coordinate should be possible.

469

470 **9. Conclusions**

471 Presented in this paper is a methodology for determining the distribution of 2D gravity throughout a
472 centrifuge model independently of centrifuge type or geometry. The whole gravity field can be
473 described by using the magnitude and orientation of a single reference gravity vector relative to the
474 model local coordinate system and the angular velocity of the centrifuge.

475 This investigation resulted in some relevant observations for a beam type centrifuge:

476 A movement of the basket's centre of gravity along the centreline of the basket could easily result
477 in a change in basket angle, ζ , and therefore a change in angle between a reference centrifuge
478 gravity vector and the model local coordinates, β , of 0.4° at high-g, Figure 5.

479 A movement of the basket's centre of gravity off of the centreline of the basket as little as 20% of
480 the length, d/L of 20 cm in a 1 m long basket, can result in a change in basket angle, ζ , and therefore
481 a change in angle between a reference centrifuge gravity vector and the model local coordinates, β ,
482 of 10° at high-g, Figure 7.

483 It was found that friction in the basket hinge can easily result in a change in basket angle, ζ , and
484 therefore a change in angle between a reference centrifuge gravity vector and the model local
485 coordinates, β , of 1° at high-g, Figure 8. This corresponds with the numbers reported in Xuedoon
486 (1988). Additionally, this can be generalized to any applied moments about the basket hinge such as
487 those applied by hoses and cables, Equation 30.

488 For a drum type centrifuge the angle between a reference centrifuge gravity vector and the model
489 local coordinates, β , is dependent on the radial distance to the model, Equations 19 and 2. With the
490 angle being theoretically 90° at the centrifuge axis and 0° at infinity.

491 This is relevant because the angle of centrifuge gravity with respect to the model local coordinates,
492 β , can have significant impact on geotechnical models and sensors. As shown in the example,
493 having centrifuge gravity at an angle of 2° to vertical while modelling very gentle slopes, as related
494 to lateral spreading and submarine landslides, would produce significant changes in the
495 interpretation of the results. In addition, it is possible such small rotation could also impact
496 interpretation of rotational stiffness measurements within the serviceability limits of foundations.

497 Sensors such as MEMS accelerometers can measure orientation relative to centrifuge gravity. If
498 gravity were angled relative to the model, errors in absolute orientation would be introduced. By
499 defining the orientation of model local coordinates with respect to centrifuge gravity, as done in this
500 paper, it is possible to measure and correct for these types and errors.

501

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506

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554

555

556 **Appendix: Derivation of Basket Angle with Hinge Moment**

557 Presented here is a generalized solution for the impact of an applied moment about the basket hinge
558 on the basket orientation, α_b . In this case the centrifuge basket is assumed to be a single rigid
559 member with a concentrated mass, like Case 1. As in Xuedoon (1988), a change in basket angle
560 between two states, one with no moment and another with applied moment, Figure 1A, can be
561 derived.

562 First it is useful to examine the difference in centrifugal gravity applied during the two states:

563

$$564 \quad g_c - g'_c = (R_b + \cos(\alpha_b)L_b)\omega^2 - (R_b + \cos(\alpha'_b)L_b)\omega^2$$

565 1A.

566 If it is assumed that the cosine of angles under three degrees is equal to one then Equation 2A
567 simplifies to:

568

$$569 \quad g_c - g'_c = (R_b + L_b)\omega^2 - (R_b + L_b)\omega^2 = 0$$

570 2A.

571

572 Equation 3A shows that for small angles of basket tilt the variation in centrifugal acceleration
573 applied at the centre of gravity is effectively zero.

574

575 With g_c shown to be equal to g'_c , the balance of the moments between the two states will be:

576

$$577 \quad \sin(\alpha_b) \cdot L_b \cdot M \cdot g_c = \sin(\alpha'_b) \cdot L_b \cdot M \cdot g_c + m_h$$

578 3A.

579

580 where: α_b is the angle of the basket with no applied moment, α'_b is the angle with an applied
581 moment, m_h is the applied moment about the basket hinge, M is the concentrated mass of the basket,
582 g_c is the centrifugal acceleration, L_b is the distance between the basket hinge and the mass M .

583 This can then be simplified using the small angle approximation:

584

585
$$\alpha_b \cdot L_b \cdot M \cdot g_c = \alpha'_b \cdot L_b \cdot M \cdot g_c + m_h$$

586 4A.

587

588 This reduces to:

589

590
$$(\alpha_b - \alpha'_b) = \frac{m_h}{L_b \cdot M \cdot g_c}$$

591 5A.

592

593
$$\Delta\alpha_m = \frac{m_h}{L_b \cdot M \cdot g_c}$$

594 6A.

595

596 where: $\Delta\alpha_m$ is the difference in angle between the applied moment and the no applied moment state.

597 For the case where the applied moment is due to friction in the hinge, the applied moment can be

598 defined as in Xuedoon (1988):

599

600 $m_f = r_h \cdot g \cdot f \cdot M$

601 7A.

602

603 where: m_f is the moment due to friction in the hinge, r_h is the radius of the hinge, f is the coefficient
604 of friction in the hinge, and g is centrifuge gravity. With centrifuge gravity being the resultant of
605 centrifugal acceleration, g_c , and Earth's gravity, g_e , Equation 2. For large values of centrifugal
606 acceleration it can be assumed equal to centrifuge gravity:

607

608 $m_f = r_h \cdot g_c \cdot f \cdot M$

609 8A.

610

611 By setting m_h , Equation 4B, equal to moment in the hinge due to friction, Equation 6B, the change
612 in angle from moment due to friction will be equal to:

613

614
$$\Delta\alpha_{fs} = \frac{f \cdot r_h \cdot M \cdot g_c}{L_b \cdot M \cdot g_c}$$

615 9A.

616

617
$$\Delta\alpha_{fs} = f \frac{r_h}{L_b}$$

618 10A.

619

620 where: $\Delta\alpha_{fs}$ is the change in angle from moment induced by friction.

622	Figure captions
623	Figure 1. Simplified geotechnical centrifuge
624	Figure 2. Comparison of field and model effective stress (not to scale)
625	Figure 3. Sketch of local coordinate system (x,z) on the vertical rotational reference plane R
626	Figure 4: Orientation of centrifuge basket treated as a single rigid member
627	Figure 5: Relative effect of centre of gravity on basket angle for varying centrifugal acceleration
628	Figure 6: Simplified 2D centrifuge basket (not to scale)
629	Figure 7: Effect on centre of gravity not being aligned with the basket centreline on its orientation
630	Figure 8: Impact of basket hinge friction on basket orientation
631	Figure 9: Layout for example two dimensional centrifuge gravity calculation
632	Figure 1A: Beam centrifuge with applied moment at basket hinge

Figure1

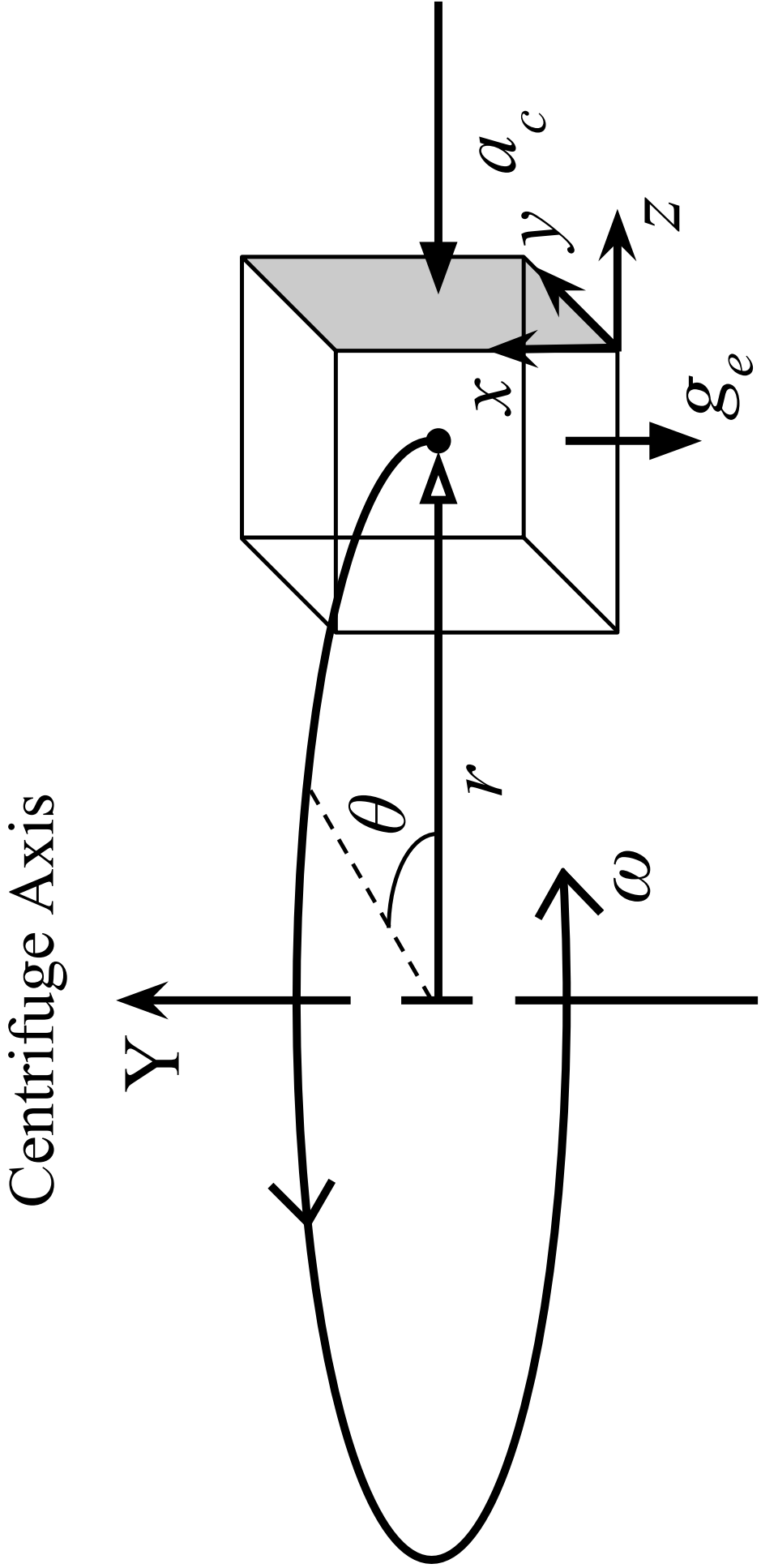


Figure2

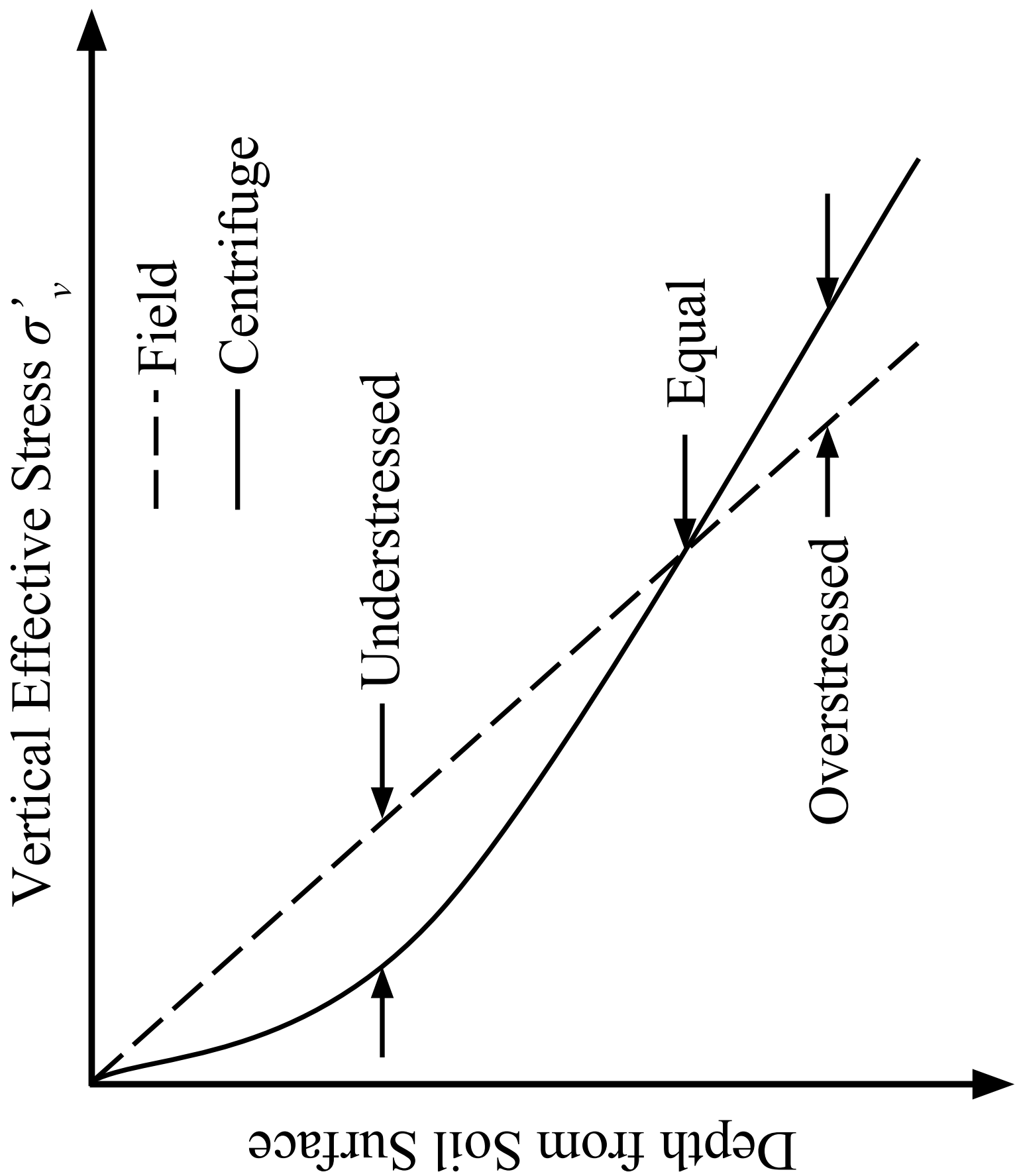


Figure3

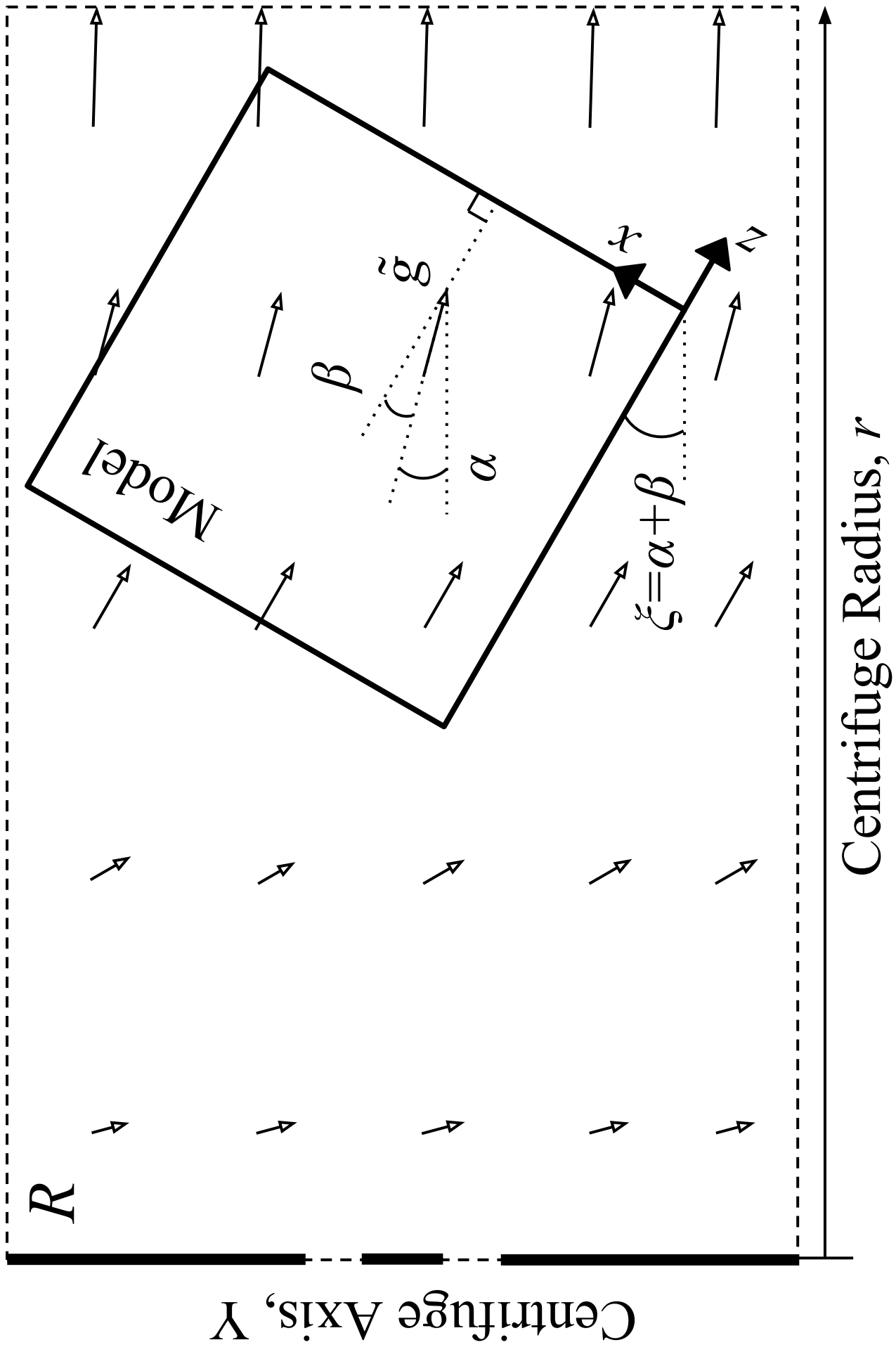


Figure4

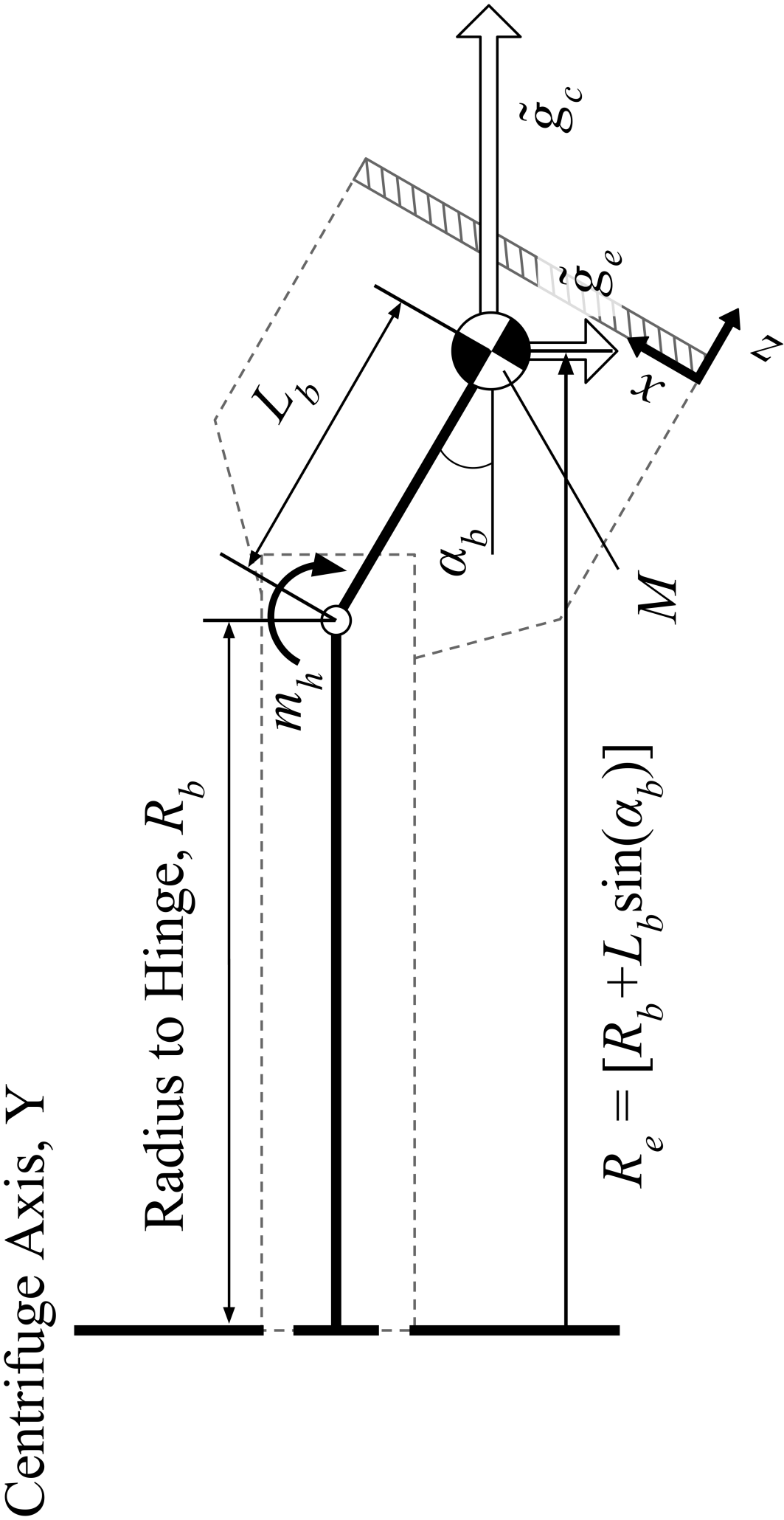


Figure5

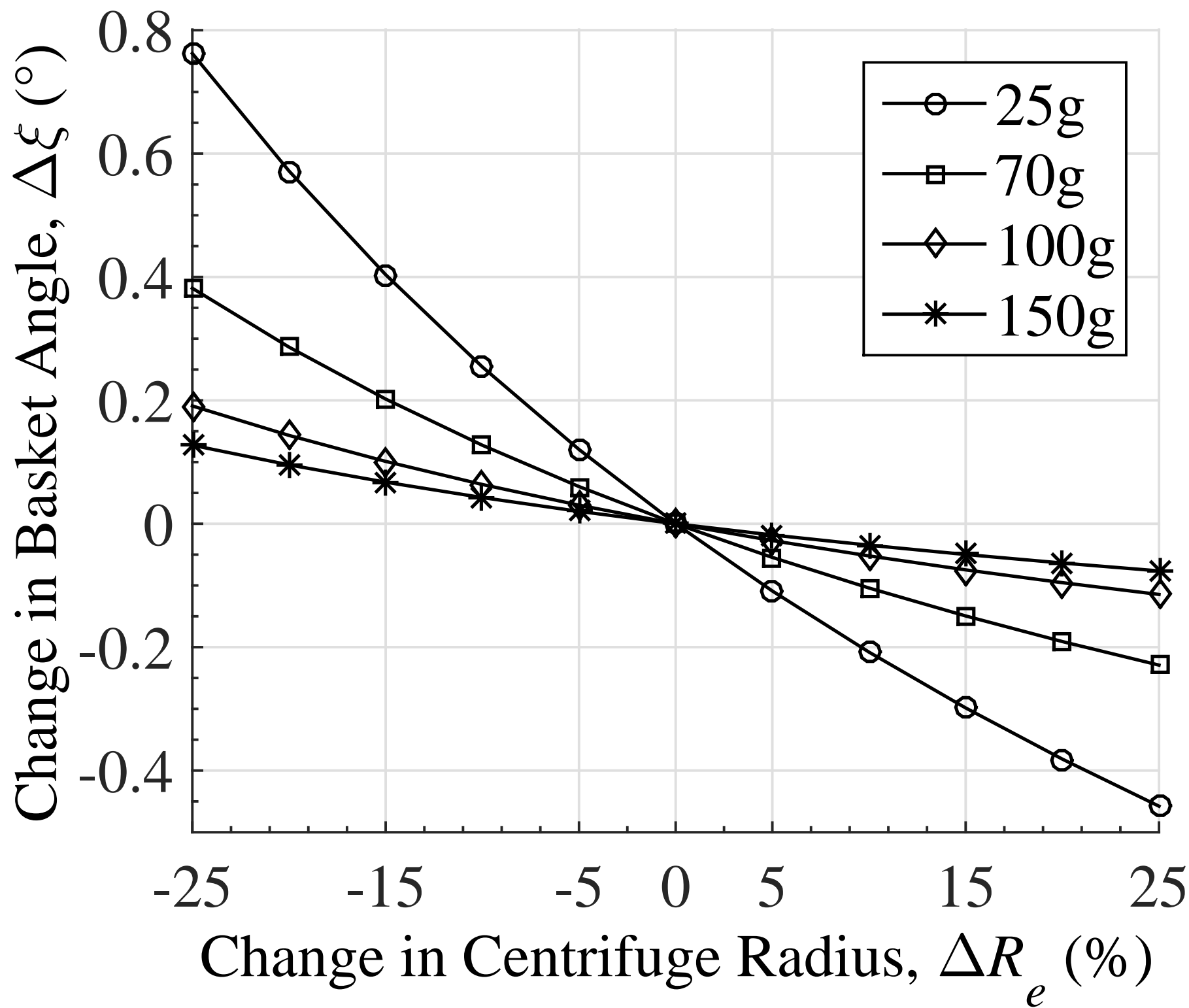


Figure6

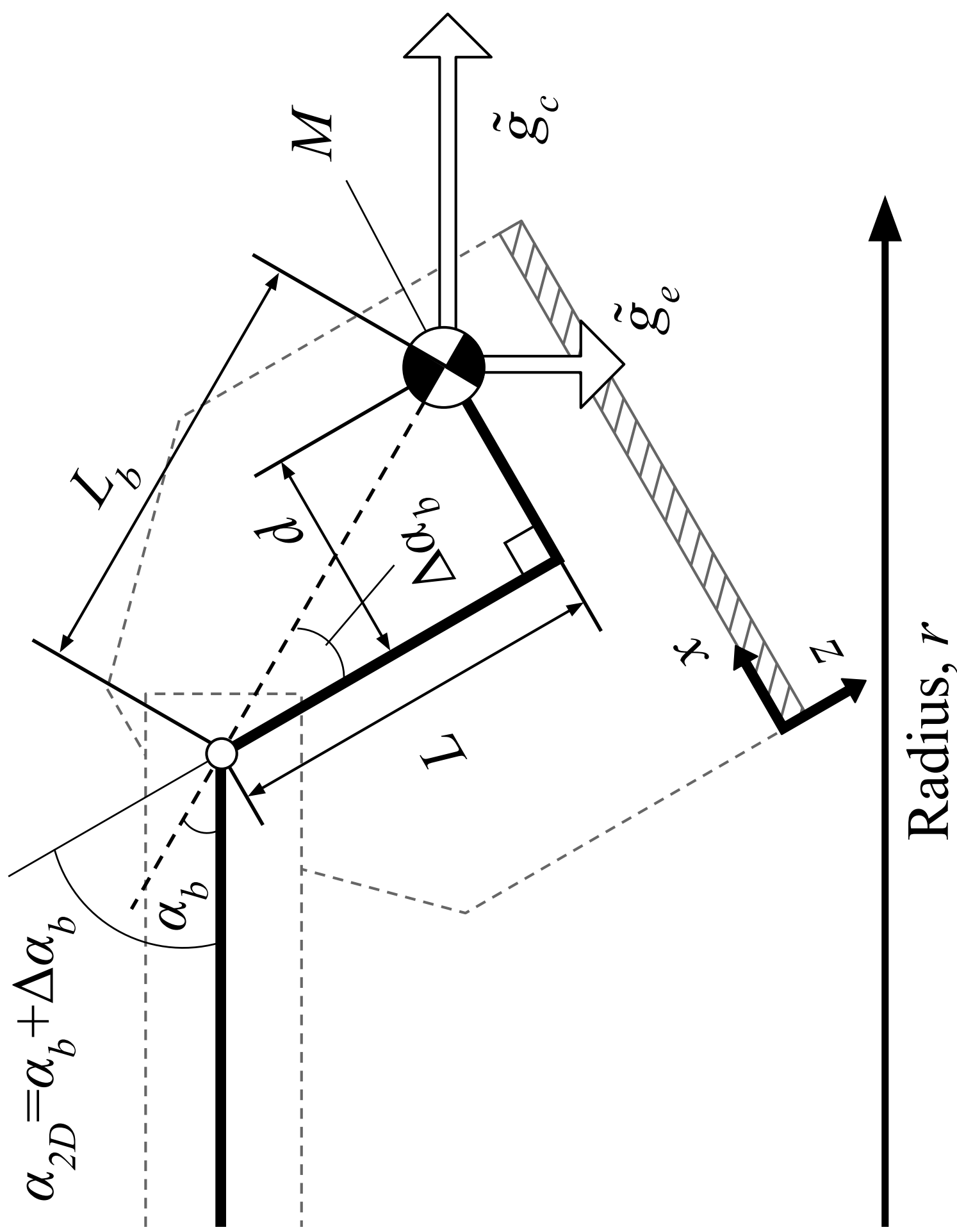


Figure 7

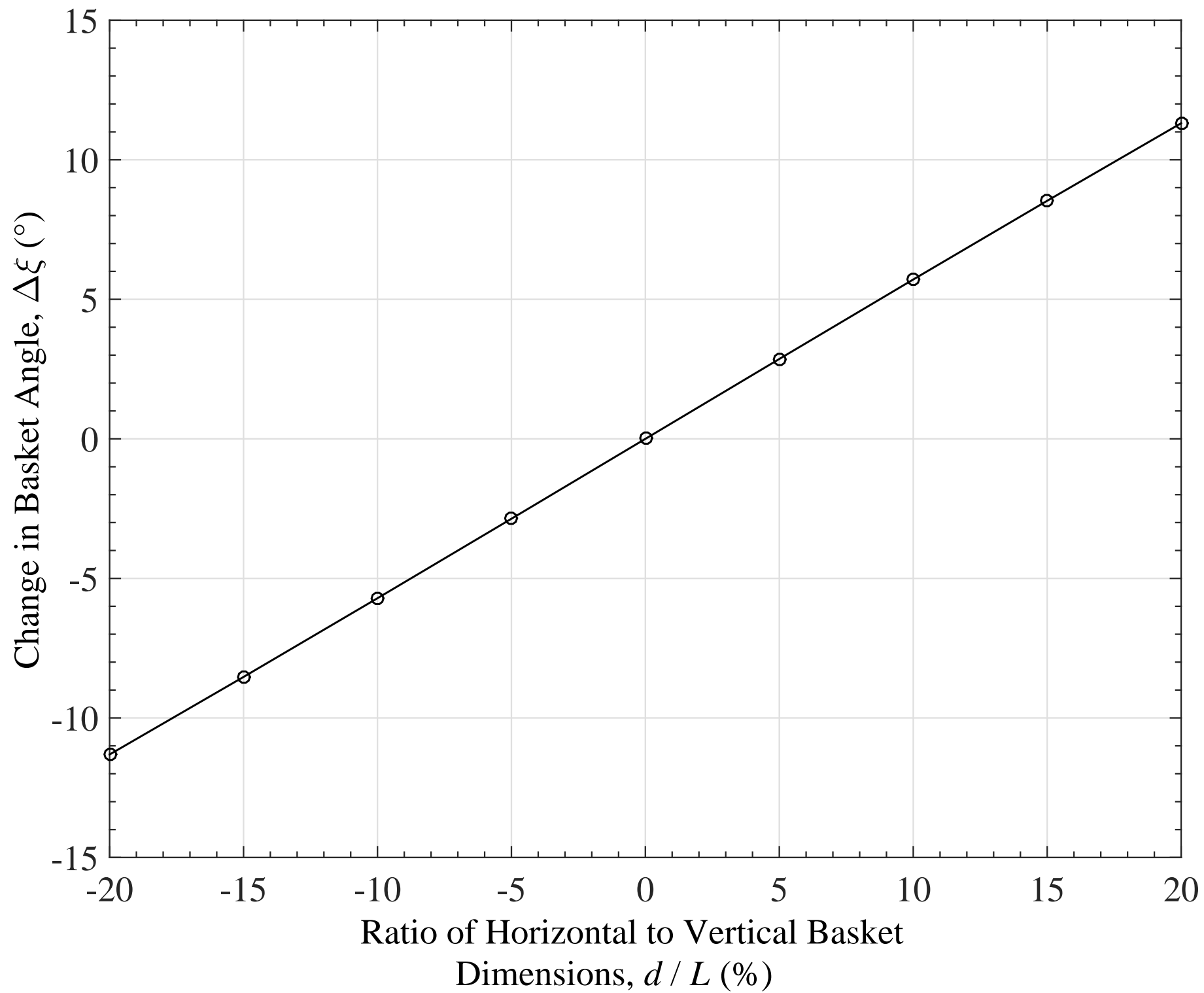


Figure8

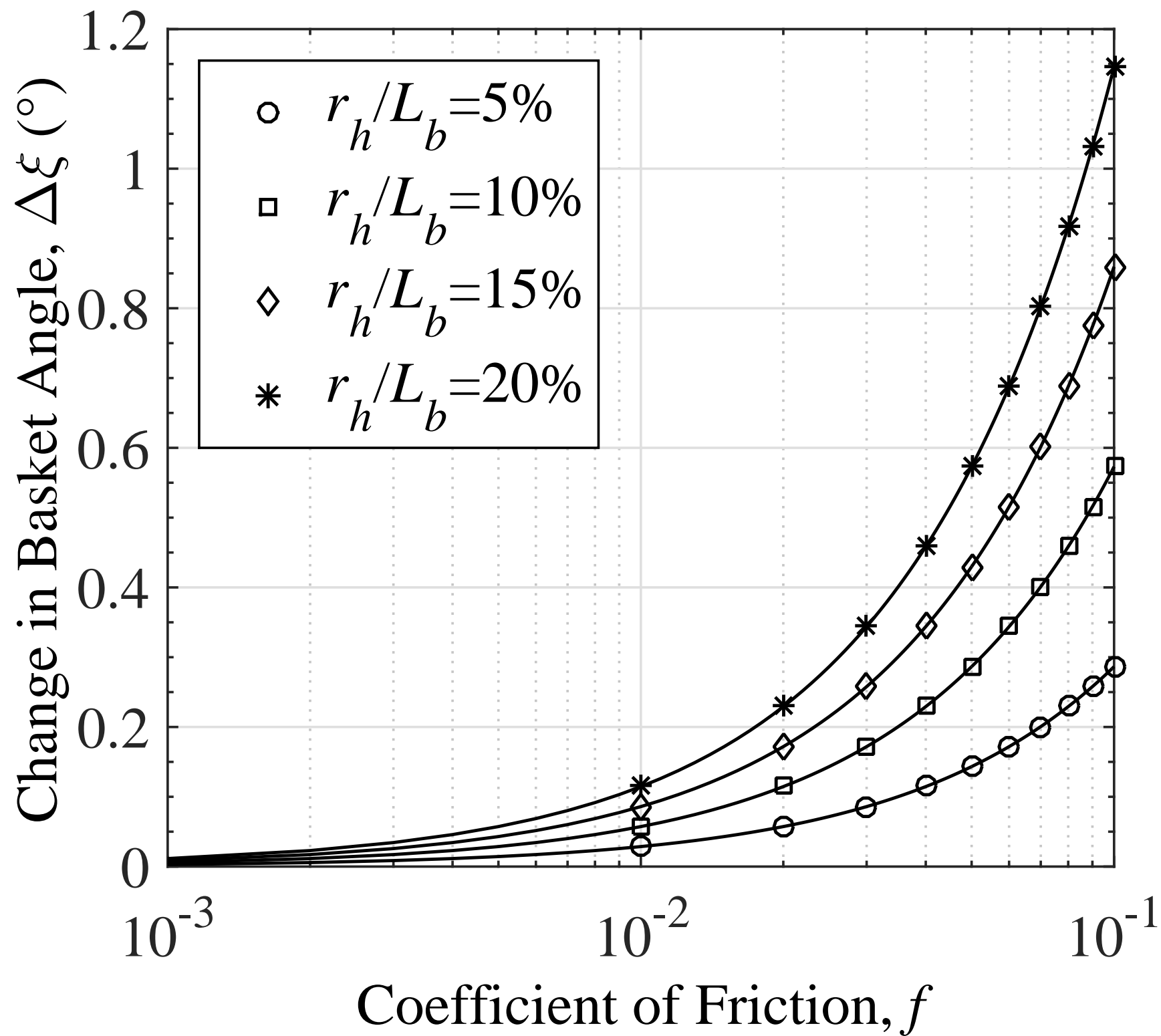
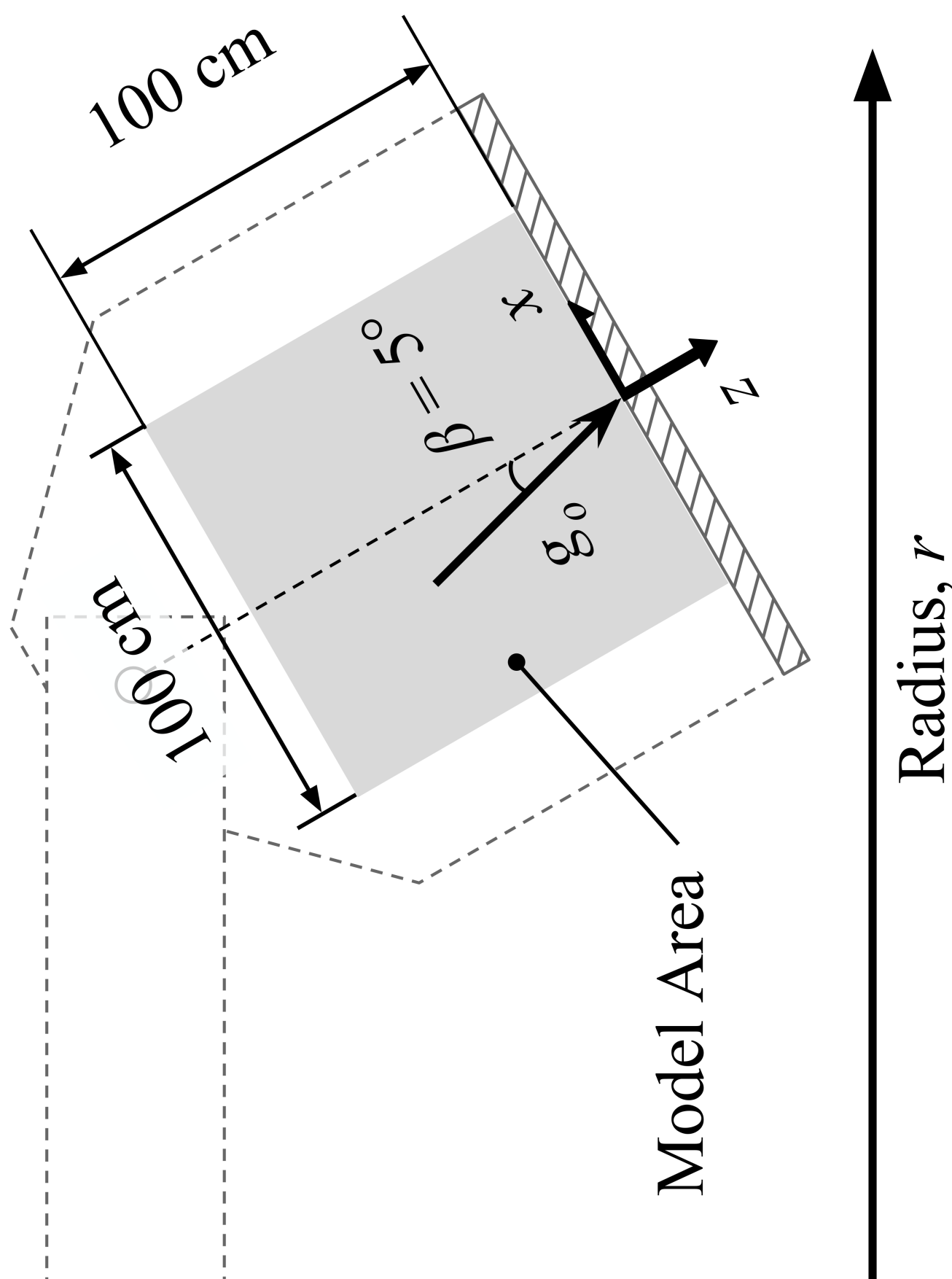


Figure9



FigureA1

